

# Whitehead's problem and $ACA_0$

Yang Sen  
Inner Mongolia University, China

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# 1. Free group and Whitehead group

## Definition

$(G, +, 0)$  is an *Abelian group* if

- ▶  $+ : G \times G \rightarrow G$  and  $0 \in G$ .
- ▶  $x + (y + z) = (x + y) + z$ .
- ▶  $x + 0 = 0 + x = x$ .
- ▶ For all  $x \in G$ , there exists  $y \in G$  such that  $x + y = 0$ .
- ▶  $x + y = y + x$ .

"Group" will always mean "abelian group".

## Free group

Given abelian group  $F$ ,

- ▶  $B \subset F$  generates  $F$ , if every element of  $F$  is sum of elements of  $B \cup (-B)$ , where  $-B = \{-x \mid x \in B\}$ .
- ▶  $B \subset F$  is independent, if sum of elements of  $B \cup (-B)$  is not 0 unless it is the empty sum.
- ▶  $B \subset F$  is a basis, if  $B$  generates  $F$  and  $B$  is independent.
- ▶  $F$  is free, if it has a basis.

## Free group

- ▶ The group of integers  $\mathbb{Z}$  is free. The direct sums  $\mathbb{Z} \oplus \mathbb{Z}$  is free. The infinite direct sum  $\bigoplus_{\kappa} \mathbb{Z}$  is also free. In fact, up to isomorphism, these are all free groups.
- ▶ So it is obvious that a subgroup of a free group is free.
- ▶ For any group  $P$ , there is a free group  $F$  and a surjective homomorphism  $\pi : F \rightarrow P$ .

## Splitting of homomorphism

Given abelian groups  $F$  and  $G$ , surjective homomorphism  $\pi : G \rightarrow F$ , we say  $\rho : F \rightarrow G$  is a splitting of  $\pi$  if

- ▶  $\rho$  is a homomorphism.
- ▶  $\pi\rho$  is the identity map of  $F$ .

So if  $\pi : G \rightarrow F$  has a splitting, then  $G$  has a subgroup isomorphic to  $F$ . In fact,  $F$  is isomorphic to a direct summand of  $G$ .

## Free group and splitting

- ▶  $F$  is free if and only if any surjective homomorphism  $\pi : G \rightarrow F$  has a splitting.
- ▶  $F$  is free if and only if any surjective homomorphism  $\pi : G \rightarrow F$  with  $G$  free has a splitting.
- ▶ Given cardinal  $\kappa > 0$ ,  $\Phi_\kappa(F)$  is the sentence: if  $\pi : G \rightarrow F$  is a surjective homomorphism with kernel isomorphic to  $\bigoplus_\kappa \mathbb{Z}$ , then  $\pi$  has a splitting.
- ▶ If  $\kappa > \lambda$ , then  $\Phi_\kappa(F)$  implies  $\Phi_\lambda(F)$ .
- ▶  $F$  is free if and only if for any  $\kappa > 0$ ,  $\Phi_\kappa(F)$  holds.

## Whitehead group

A group  $F$  is a *Whitehead group* if  $\Phi_1(F)$  holds, i.e. any surjective homomorphism  $\pi : G \rightarrow F$  with kernel isomorphic to  $\mathbb{Z}$  has a splitting.

## Whitehead's problem

Is there a Whitehead group which is not free?



## Stein's theorem

Every countable Whitehead group is free.

## Theorem(Shelah)

In  $L$ , the universe of constructible sets, every Whitehead group is free.

## Theorem(Shelah)

Assume  $MA_{\omega_1}$ , there is a Whitehead group of cardinality  $\omega_1$  which is not free.

## 2. Whitehead's problem settled in $ACA_0$

- ▶ Concepts "abelian group", "basis of a group", "free group", "splitting of a homomorphism", "Whitehead group" are all expressible in second order arithmetic language.
- ▶ Whitehead's problem is a problem in second order arithmetic.

### Theorem

In  $ACA_0$ , Stein's theorem holds, i.e. every Whitehead group is free.

## Sketch of the proof

- ▶  $\text{RCA}_0$  proves Whitehead group must be torsion-free, i.e. for any  $x \in G$  and any  $n \neq 0$ ,  $nx \neq 0$ .
- ▶  $\text{RCA}_0$  proves a subgroup of a Whitehead group is also a Whitehead group.
- ▶ In  $\text{ACA}_0$ , prove if a group satisfies  $\forall x \exists n \forall m > n (\frac{x}{m} \text{ does not exist})$ , then it is free.
- ▶ In  $\text{RCA}_0$ , prove a Whitehead group must satisfy the condition  $\forall x \exists n \forall m > n (\frac{x}{m} \text{ does not exist})$ . This step is a "forcing" essentially. Suppose the condition false for  $G$ , then one can construct a homomorphism to  $G$ , in the processing of construction, killing all potential splitting.

### 3. Stein's theorem implies $ACA_0$ over $WKL_0$

#### Theorem

In  $WKL_0$ , Stein's theorem implies  $ACA_0$ .

#### Theorem

The followings are equivalent over the theory  $WKL_0$ :

- ▶ Stein's theorem;
- ▶  $ACA_0$ .

## Sketch of the proof

- ▶ Let  $\mathcal{M}$  be a model of  $WKL_0 + \neg ACA_0$ , to find a Whitehead group  $F$  in  $\mathcal{M}$ , but  $F$  is not free in  $\mathcal{M}$ .
- ▶ Fix  $A$  be r.e. relative to some set in  $\mathcal{M}$  and  $A \notin \mathcal{M}$ . The existence of such  $A$  is guaranteed by  $\neg ACA_0$ .
- ▶ Define group  $F$  in  $\mathcal{M}$  step by step (using the enumeration of  $A$ ): we enumerate symbols  $x_1, x_2, \dots$ , and if  $i \in A$  in step  $s$ , then add a symbol  $x'_i$  and a relation  $2x'_i = x_i$ .
- ▶ In  $\mathcal{M}$ , there is no basis of  $F$ . Since if there is a basis, then for each  $i$ ,  $x_i$  can be written as a sum of basis elements, so we can judge the odd-even of  $x_i$ . So  $A \in \mathcal{M}$ .

## Sketch of the proof

- ▶ Using  $WKL_0$ , we can prove  $F$  is a Whitehead group in  $\mathcal{M}$ .
- ▶ Given  $\pi : G \rightarrow F$  a surjective homomorphism with kernel generated by  $y_0$ .
- ▶ For each  $i$ , fix  $p_i$  such that  $\pi(p_i) = x_i$ . Use  $WKL_0$  to choose  $y_i = p_i$  or  $y_i = p_i + y_0$  such that  $y_i + y_0$  is odd in  $G$ .
- ▶ Let us define the suitable  $y'_i$ : fix  $p'_i$  such that  $\pi(p'_i) = x'_i$ . So  $\pi(2p'_i) = x_i$ . So  $\pi(2p'_i - y_i) = 0$ . So  $2p'_i - y_i$  is  $2zy_0$  or  $(2z + 1)y_0$ . So  $2p'_i - 2zy_0$  is  $y_i$  or  $y_i + y_0$ . Since  $y_i + y_0$  is odd, so  $2p'_i - 2zy_0 = y_i$ . So we can define  $y'_i = p'_i - zy_0$ .
- ▶ Then  $\rho(x_i) = y_i$  and  $\rho(x'_i) = y'_i$  gives us a splitting of  $\pi$ .

## 4. Further research

### Intuition

Whitehead group is free group with base outside our universe.

### Goal

To find out some forcing with some "good" properties to add a basis for a Whitehead group.

Thank you.