

Finitary proof systems for Kozen's μ

Bahareh Afshari Graham Leigh

TU Wien

University of Gothenburg

HOMC & CDPS'16, Singapore

Modal μ -calculus

Syntax: $p \mid \bar{p} \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid \diamond\varphi \mid \square\varphi \mid \mathbf{x} \mid \mu\mathbf{x} \varphi \mid \nu\mathbf{x} \varphi$

Semantics: For Kripke structure $K = (W, \rightarrow, \lambda)$ and valuation $V: \text{Var} \rightarrow 2^W$

$$\|p\|_V^K = \{u \in W \mid p \in \lambda(u)\} \qquad \|\varphi \wedge \psi\|_V^K = \|\varphi\|_V^K \cap \|\psi\|_V^K$$

$$\|\diamond\varphi\|_V^K = \{u \in W \mid \exists v(u \rightarrow v \wedge v \in \|\varphi\|_V^K)\} \qquad \|\mathbf{x}\|_V^K = V(\mathbf{x})$$

and similarly for \bar{p} , \vee and \square .

$$\|\mu\mathbf{x} \varphi\|_V^K = \text{least fixpoint of the function } X \mapsto \|\varphi\|_{V[x \mapsto X]}^K.$$

$$= \bigcap \{X \subseteq W \mid \|\varphi\|_{V[x \mapsto X]}^K \subseteq X\}$$

$$\|\nu\mathbf{x} \varphi\|_V^K = \text{greatest fixpoint of the function } X \mapsto \|\varphi\|_{V[x \mapsto X]}^K.$$

$$= \bigcup \{X \subseteq W \mid X \subseteq \|\varphi\|_{V[x \mapsto X]}^K\}$$

Examples: $\mu\mathbf{x}(\diamond\mathbf{x} \vee p)$; $\nu\mathbf{x}(\diamond\mathbf{x} \wedge p)$; $\nu\mathbf{x}\mu\mathbf{y}(\diamond\mathbf{y} \vee (p \wedge \diamond\mathbf{x}))$.

Duality: Define $\bar{\varphi}$ as the De Morgan dual of φ :

$$\overline{\mu\mathbf{x}\varphi(\mathbf{x})} = \nu\mathbf{x}\bar{\varphi}(\mathbf{x})$$

$$\|\bar{\varphi}\|_V^K = W \setminus \|\varphi\|_{y \mapsto \overline{V(y)}}^K$$

Validity and proofs

Let φ be a closed formula.

Define

- ▶ $K \models \varphi$ iff $\|\varphi\|^K = W$ where $K = (W, \rightarrow, \lambda)$,
- ▶ $\models \varphi$ (φ is **valid**) iff $K \models \varphi$ for every K .

Theorem (Kozen 1983; Walukiewicz 2000)

For every closed φ , $\models \varphi$ iff $\text{Koz} \vdash \varphi$.

Soundness: Proved by Kozen (1983).

Completeness: Kozen: aconjunctive fragment; Walukiewicz: full μ -calculus:

1. Completeness of disjunctive fragment: **tableaux**.
2. Provable equivalence between disjunctive and μ -formulae: **tableaux**, **games/automata**.

'almost always' implies 'infinitely often'

Consider the formula $\psi = \mu x \nu y \varphi(x, y) \rightarrow \nu y \mu x \varphi(x, y)$.

- ▶ ψ is valid – easy semantic argument.
- ▶ $\text{Koz} \vdash \psi$ – non-trivial.

Questions:

1. Is there a more direct/constructive proof of completeness?
2. Is cut necessary?
3. Are there other natural sound and complete finitary proof systems?

Tableaux proofs

A **tableau** is a Fix-tree in which every infinite path contains a ν -thread.

Theorem (Niwinski, Walukiewicz 1996; Studer 2008; Friedman 2013)

For every closed guarded formula φ , $\models \varphi$ iff there exists a tableau for φ .

$$\begin{array}{c}
 \vdots \\
 \frac{(\dagger) Y(\nu x Y), X(\nu y X)}{\nu x Y, X(\nu y X)} \nu_x \quad \quad \quad \frac{\vdots}{Y(\nu x Y), \nu y X} (\ddagger) \\
 \hline
 \frac{\frac{\frac{\bar{\varphi}(\nu x Y, Y(\nu x Y)), \varphi(X(\nu y X), \nu y X)}{Y(\nu x Y), X(\nu y X)} (\dagger)}{Y(\nu x Y), \nu y X} (\ddagger)}{\nu x \mu y \bar{\varphi}, \nu y \mu x \varphi} \nu_x \mu_y + \mu_x
 \end{array}$$

where $Y(x) = \mu y \bar{\varphi}(x, y)$ and $X(y) = \mu x \varphi(x, y)$.

Stirling's tableaux proofs with names

Fix a set of **names** for each variable: $N_x = \{x_0, x_1, \dots\}$ and $N = \bigcup_{x:\text{Var}} N_x$.

An **annotated sequent** is an expression $a_0 \vdash \varphi_1^{a_1}, \dots, \varphi_n^{a_n}$ s.t. $a_0, \dots, a_n \in N^*$.

Definition

A **Stirling proof** is a finite tree built from rules $\text{Fix}^N + \text{reset}_x + \nu_x + \text{exp}$ s.t.

1. For every sequent $a \vdash \varphi_0^{a_0}, \dots, \varphi_k^{a_k}$ and every $i \leq k$, $a_i \sqsubseteq a$;
2. Every non-axiom leaf has the configuration

$$\left. \begin{array}{c} axb \vdash \Delta \\ \vdots \\ axb' \vdash \Pi \\ \hline axb' \vdash \Pi' \\ \vdots \\ axb \vdash \Delta \\ \vdots \end{array} \right\} \text{reset}_x$$

where:

- 2.1 x appears in every sequent between repetition
- 2.2 reset_x occurs between the two nodes.

We write $\text{Stir} \vdash \Gamma$ if there exists a Stirling proof with root $\varepsilon \vdash \{\varphi^\varepsilon \mid \varphi \in \Gamma\}$.

Theorem (Stirling 2014)

Tableaux can be effectively transformed into Stirling proofs.

Stirling proofs: interpreting tableaux

$$\begin{array}{c}
 \frac{[xy \vdash Y(vxY)^x, X(vyX)^y]^{\dagger}}{xyx' \vdash Y(vxY)^{xx'}, X(vyX)^y} \text{reset}_{x'} \quad \frac{[xy \vdash Y(vxY)^x, X(vyX)^y]^{\dagger}}{xyy' \vdash Y(vxY)^x, X(vyX)^{yy'}} \text{reset}_y \\
 \frac{\quad}{xy \vdash vxY^x, X(vyX)^y} v_{x'} \quad \frac{\quad}{xy \vdash Y(vxY)^x, vyX^y} v_{y'} \\
 \hline
 \vdots \\
 \frac{xy \vdash \bar{\varphi}(vxY, Y(vxY))^x, \varphi(X(vyX), vyX)^y}{xy \vdash Y(vxY)^x, X(vyX)^y (\dagger)} \mu_x + \mu_y \\
 \frac{\quad}{x \vdash Y(vxY)^x, vyX^\varepsilon} v_y \\
 \frac{\quad}{\varepsilon \vdash vxY^\varepsilon, vyX^\varepsilon} v_x
 \end{array}$$

Pros:

1. Fintary proofs
2. Proofs constructed ‘semantically’

Cons:

1. Non-locality
2. Guessing resets

Circular proofs with ν -closure

Consider the rule
$$\frac{[\vdash \Gamma, \nu x \varphi(x)^{ax}]^\dagger \quad \vdots \quad \vdash \Gamma, \varphi(\nu x \varphi)^{ax}}{\vdash \Gamma, \nu x \varphi^a} \text{clo}_\dagger$$
 where $a \leq x$, x is not in Γ or a .

Definition

$\text{Clo} \vdash \Gamma$ iff there exists a finite tree built from rules $\text{Fix}^N + \nu_x + \text{clo}$ satisfying

1. Every sequent has the form $\varepsilon \vdash \varphi_1^{a_1}, \dots, \varphi_k^{a_k}$;
2. Every leaf is either an axiom or discharged by an application of clo :

Theorem

$\text{Stir} \vdash \Gamma$ implies $\text{Clo} \vdash \Gamma$.

Proof

1. Unravel Stirling proofs;
2. Delete resets;
3. Search for clo .

Example: Stirling to circular proofs (I)

$$\frac{\frac{[xy \vdash Y(vxY)^x, X(vyX)^y]^{\dagger}}{xyx' \vdash Y(vxY)^{xx'}, X(vyX)^y} \text{reset}_{x'}}{xy \vdash vxY^x, X(vyX)^y} \nu_{x'}}{\quad} \quad \frac{\frac{[xy \vdash Y(vxY)^x, X(vyX)^y]^{\dagger}}{xyy' \vdash Y(vxY)^x, X(vyX)^{yy'}}{xy \vdash Y(vxY)^x, vyX^y} \text{reset}_y} \nu_{y'}}{\quad}$$

$$\begin{array}{c}
 \vdots \\
 \frac{xy \vdash \bar{\varphi}(vxY, Y(vxY))^x, \varphi(X(vyX), vyX)^y}{xy \vdash Y(vxY)^x, X(vyX)^y \ (\dagger)} \mu_x + \mu_y \\
 \frac{\quad}{x \vdash Y(vxY)^x, vyX^\varepsilon} \nu_y \\
 \frac{\quad}{\varepsilon \vdash vxY^\varepsilon, vyX^\varepsilon} \nu_x
 \end{array}$$

Example: Stirling to circular proofs (II)

$$\begin{array}{c}
 \vdots \\
 \frac{Y(vxY)^x, X(vyX)^y}{Y(vxY)^{xx'}, X(vyX)^y} \text{reset}_{x'} \quad \frac{Y(vxY)^x, X(vyX)^y}{Y(vxY)^x, X(vyX)^{yy'}} \text{reset}_y \\
 \frac{\quad}{vxY^x, X(vyX)^y} v_{x'} \quad \frac{\quad}{Y(vxY)^x, vyX^y} v_{y'} \\
 \hline
 \vdots \\
 \frac{\overline{\varphi}(vxY, Y(vxY))^x, \varphi(X(vyX), vyX)^y}{Y(vxY)^x, X(vyX)^y} \mu^* \quad \frac{Y(vxY)^x, X(vyX)^y}{Y(vxY)^x, X(vyX)^{yy'}} \text{reset}_y \\
 \frac{\quad}{Y(vxY)^{xx'}, X(vyX)^y} \text{reset}_{x'} \quad \frac{\quad}{Y(vxY)^x, vyX^y} v_{y'} \\
 \frac{\quad}{vxY^x, X(vyX)^y} v_{x'} \quad \frac{\quad}{Y(vxY)^x, vyX^y} v_{y'} \\
 \hline
 \vdots \\
 \frac{\overline{\varphi}(vxY, Y(vxY))^x, \varphi(X(vyX), vyX)^y}{Y(vxY)^x, X(vyX)^y} \mu_x + \mu_y \\
 \frac{\quad}{Y(vxY)^x, vyX^\varepsilon} v_y \\
 \frac{\quad}{vxY^\varepsilon, vyX^\varepsilon} v_x
 \end{array}$$

Example: Stirling to circular proofs (III)

$$\begin{array}{c}
 \vdots \\
 \frac{Y(\nu x Y)^{xx'x''}, X(\nu y X)^y}{Y(\nu x Y)^{xx'x''}, X(\nu y X)^y} \text{reset}_{x''} \\
 \frac{\quad}{\nu x Y^{xx'}, X(\nu y X)^y} \text{clo}_{x''} \\
 \hline
 \vdots \\
 \frac{\overline{\varphi}(\nu x Y, Y(\nu x Y))^{xx'}, \varphi(X(\nu y X), \nu y X)^y}{Y(\nu x Y)^{xx'}, X(\nu y X)^y} \mu^* \\
 \frac{\quad}{Y(\nu x Y)^{xx'}, X(\nu y X)^y} \text{reset}_{x'} \\
 \frac{\quad}{\nu x Y^x, X(\nu y X)^y} \text{clo}_{x'} \\
 \hline
 \vdots \\
 \frac{\overline{\varphi}(\nu x Y, Y(\nu x Y))^x, \varphi(X(\nu y X), \nu y X)^y}{Y(\nu x Y)^x, X(\nu y X)^y} \mu^* \\
 \frac{\quad}{Y(\nu x Y)^x, \nu y X^\varepsilon} \text{clo}_y \\
 \frac{\quad}{\nu x Y^\varepsilon, \nu y X^\varepsilon} \text{clo}_x
 \end{array}$$

Example: Stirling to circular proofs (IV)

$$\begin{array}{c}
 \frac{Y(vxY)^x, X(vyX)^y}{Y(vxY)^{xx'}, X(vyX)^y} \text{reset}_{x'} \quad \frac{Y(vxY)^{xx'}, X(vyX)^y}{Y(vxY)^{xx'}, X(vyX)^{yy'}} \text{reset}_y \\
 \frac{Y(vxY)^{xx'}, X(vyX)^y}{[vxY^{xx'}, X(vyX)^y]^\ddagger} \text{clo}_{x'} \quad \frac{Y(vxY)^{xx'}, X(vyX)^{yy'}}{[Y(vxY)^{xx'}, vyX^y]^\dagger} \text{clo}_y \\
 \hline
 \vdots \\
 \frac{\varphi(vxY, Y(vxY))^{xx'}, \bar{\varphi}(X(vyX), vyX)^y}{Y(vxY)^{xx'}, X(vyX)^y} \mu^* \quad \frac{Y(vxY)^x, X(vyX)^y}{Y(vxY)^x, X(vyX)^{yy'}} \text{reset}_y \\
 \frac{Y(vxY)^{xx'}, X(vyX)^y}{vxY^x, X(vyX)^y} \text{reset}_{x'} \quad \frac{Y(vxY)^x, X(vyX)^{yy'}}{[Y(vxY)^x, vyX^y]^\dagger} \text{clo}_y \\
 \frac{Y(vxY)^{xx'}, X(vyX)^y}{vxY^x, X(vyX)^y} \text{clo}_{x'}^\ddagger \\
 \hline
 \vdots \\
 \frac{\varphi(vxY, Y(vxY))^x, \bar{\varphi}(X(vyX), vyX)^y}{Y(vxY)^x, X(vyX)^y} \mu^* \\
 \frac{Y(vxY)^x, X(vyX)^y}{Y(vxY)^x, vyX^\varepsilon} \text{clo}_y^\dagger \\
 \frac{Y(vxY)^x, vyX^\varepsilon}{vxY^\varepsilon, vyX^\varepsilon} \text{clo}_x
 \end{array}$$

Example: Stirling to circular proofs (V)

A proof in Clo:

$$\begin{array}{c}
 \frac{[v_x Y^{xx'}, X(v_y X)^y]^{\ddagger} \quad \frac{[Y(v_x Y)^x, X(v_y X)^y]^{\dagger}}{Y(v_x Y)^{xx'}, v_y X^y} \text{exp}}{\text{-----}} \\
 \vdots \\
 \frac{\overline{\varphi}(v_x Y, Y(v_x Y))^{xx'}, \varphi(X(v_y X), v_y X)^y}{Y(v_x Y)^{xx'}, X(v_y X)^y} \mu^* \\
 \frac{\quad}{v_x Y^x, X(v_y X)^y} \text{clo}^{\ddagger} \quad [Y(v_x Y)^x, v_y X^y]^{\dagger} \\
 \text{-----} \\
 \vdots \\
 \frac{\overline{\varphi}(v_x Y, Y(v_x Y))^x, \varphi(X(v_y X), v_y X)^y}{Y(v_x Y)^x, X(v_y X)^y} \mu^* \\
 \frac{\quad}{Y(v_x Y)^x, v_y X^\varepsilon} \text{clo}^{\dagger} \\
 \frac{\quad}{v_x Y^\varepsilon, v_y X^\varepsilon} \text{clo}
 \end{array}$$

Taking stock

We have shown

$$\varphi \text{ valid} \Rightarrow \varphi \text{ has a tableau} \Rightarrow \text{Stir} \vdash \varphi \Rightarrow \text{Clo} \vdash \varphi$$

Corollary

Circular proofs with v -closure are complete for the modal μ -calculus.

Can we get closer to a sequent calculus?

- ▶ no discharge rules.
- ▶ no annotations.

Eliminating assumptions

Let $Z = \nu z \psi(z)$.

$$[\Gamma_1, Z^{az_1}] \quad \dots \quad [\Gamma_k, Z^{az_1 \dots z_k}]$$

$$\vdots \quad \quad \quad \vdots \quad \quad \quad \vdots$$

$$\frac{\Gamma_k, \psi(Z)^{az_1 \dots z_k}}{\Gamma_k, Z^{az_1 \dots z_{k-1}}} \text{clo}_{z_k}$$

$$\frac{\Gamma_i, \psi(Z)^{az_1 \dots z_i}}{\Gamma_i, Z^{az_1 \dots z_{i-1}}} \text{clo}_{z_i}$$

$$\frac{\Gamma_1, \psi(Z)^{az_1}}{\Gamma_1, Z^a} \text{clo}_{z_1}$$

\vdots

$$(vz\psi)^{az_1 \dots z_i} := vz(\bar{\Gamma}_i \vee \dots \vee \bar{\Gamma}_1 \vee \psi^a)$$

$$\begin{array}{c} \vdots \\ \frac{\Gamma_i, \psi^a(vz. \bar{\Gamma}_i \vee \dots \vee \bar{\Gamma}_1 \vee \psi^a)}{\Gamma_i, \psi^a(\bar{\Gamma}_i \vee vz. \bar{\Gamma}_i \vee \dots \vee \bar{\Gamma}_1 \vee \psi^a)} \text{vd} \\ \frac{\Gamma_i, vz. \bar{\Gamma}_{i-1} \vee \dots \vee \bar{\Gamma}_1 \vee \psi^a(\bar{\Gamma}_i \vee z)}{\Gamma_i, \nu y vz. \bar{\Gamma}_{i-1} \vee \dots \vee \bar{\Gamma}_1 \vee \psi^a(y \vee z)} \text{ind} \\ \frac{\Gamma_i, \nu y vz. \bar{\Gamma}_{i-1} \vee \dots \vee \bar{\Gamma}_1 \vee \psi^a(y \vee z)}{\Gamma_i, vz. \bar{\Gamma}_{i-1} \vee \dots \vee \bar{\Gamma}_1 \vee \psi^a} \text{con} \\ \vdots \end{array}$$

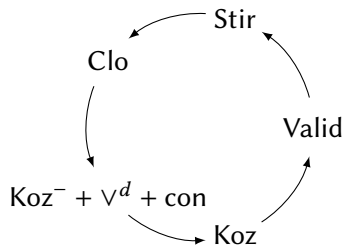
Theorem

$$\text{Clo} \vdash \Gamma \Rightarrow \text{Koz}^- + \text{con} + \text{vd} \vdash \Gamma.$$

Theorem

$$\text{Koz}^- + \text{con} + \text{vd} \vdash \Gamma \text{ implies } \text{Koz} \vdash \Gamma.$$

Summary



1. We introduce two sound and complete cut-free proof systems;
2. Doing so we provide a new proof of completeness for Koz yielding a procedure for obtaining proofs from tableaux;
3. Koz^- is complete iff \forall^d and con are admissible.

Proving ‘almost always’ implies ‘infinitely often’

$$\begin{array}{c}
 \frac{Y(vxY), vyX'}{Y(vxY'), vyX'} \vee^d \\
 \hline
 vxY', X(vyX') \\
 \vdots \\
 \frac{\overline{\varphi}(vxY', Y(vxY')), \varphi(X(vyX'), vyX')}{Y(vxY'), X(vyX')} \mu_x + \mu_y \\
 (v_x + \vee^d + \text{ind} + \text{con}) \frac{\overline{\varphi}(vxY', Y(vxY')), \varphi(X(vyX'), vyX')}{Y(vxY'), X(vyX')} \\
 \hline
 \frac{Y(vxY), vyX'}{Y(vxY), vyX'} \\
 \vdots \\
 \frac{\overline{\varphi}(vxY, Y(vxY)), \varphi(X(vyX'), vyX')}{Y(vxY), X(vyX')} \mu_x + \mu_y \\
 (v_y + \vee^d + \text{ind} + \text{con}) \frac{\overline{\varphi}(vxY, Y(vxY)), \varphi(X(vyX'), vyX')}{Y(vxY), X(vyX')} \\
 \frac{Y(vxY), vyX'}{vx\mu_y\overline{\varphi}, vy\mu_x\varphi} v_x
 \end{array}$$

where

$$\begin{array}{ll}
 Y(x) = \mu_y \overline{\varphi}(x, y) & Y' = \overline{X(\mu_y X')} \vee Y \\
 X(y) = \mu_x \varphi(x, y) & X' = \overline{Y(\mu_x Y)} \vee X
 \end{array}$$